## Forward Charm

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## The Lund Model

Assume linear confinement with a string tension $\kappa \approx 1 \mathrm{GeV} / \mathrm{fm}$.
Motion of quarks and antiquarks with intermediate string pieces:


A q from one string break combines with a $\bar{q}$ from an adjacent one.
Gives simple but powerful picture of hadron production.

## Boost properties

Consider a boost $\beta$ along the $z$ axis, with $p^{ \pm}=E \pm p_{z}$ :

$$
\left\{\begin{array} { l } 
{ E ^ { \prime } = \gamma ( E + \beta p _ { z } ) } \\
{ p _ { z } ^ { \prime } = \gamma ( p _ { z } + \beta E ) }
\end{array} \Longrightarrow \left\{\begin{array}{l}
p^{+^{\prime}}=k p^{+} \\
p^{\prime^{\prime}}=\frac{1}{k} p^{-}
\end{array} \quad \text { with } k=\sqrt{\frac{1+\beta}{1-\beta}}\right.\right.
$$

with similar contraction/compression of $t^{ \pm}=t \pm z$.
This preserves transverse masses, $m_{\perp}^{2}=m^{2}+p_{\perp}^{2}=p^{+} p^{-}$, and invariant times, $\tau^{2}=t^{2}-z^{2}=t^{+} t^{-}($for $x=y=0)$, while rapidity $y^{\prime}=y+\ln k$.

The fragmentation process is boost invariant:
same result if you boost the partons before fragmentation or if you first fragment them and then boost the hadrons.
Applies for all boosts, but here specifically longitudinal ones.

## Fragmentation function

Fragmentation functions $f(z)$ for the (charm) quark $\rightarrow$ hadron transition must be formulated in terms of lightcone variables

$$
z=p_{h}^{+} / p_{q}^{+}
$$

to preserve the longitudinal boost invariance of the string.
charm lightcone fragmentation function


Many shapes proposed, e.g. Lund-Bowler:

$$
f(z) \propto \frac{1}{z^{1+r_{q} b m_{q}^{2}}}(1-z)^{a} \exp \left(-\frac{b m_{\perp h}^{2}}{z}\right)
$$

where $a, b$ and $r_{q}$ are free parameters $\left(a \geq 0, b>0,0 \leq r_{q} \leq 1\right)$.

## String pull

Consider a back-to-back cē system, along the $\pm z$ axis. The string tension pulls the c backwards and the $\overline{\mathrm{c}}$ forwards:

$p_{\mathrm{D}}^{+}=z p_{\text {tot }}^{+}$for a D hadron $\left(\mathrm{D}^{0}, \mathrm{D}^{+}, \Lambda_{\mathrm{c}}^{+}, \ldots\right)$ from the c , while for a $\overline{\mathrm{D}}$ hadron $\left(\overline{\mathrm{D}}^{0}, \overline{\mathrm{D}}^{-}, \bar{\Lambda}_{\mathrm{c}}^{-}, \ldots\right)$ from the $\overline{\mathrm{c}}$ :

$$
\left\{\begin{array} { l } 
{ p _ { \overline { \mathrm { D } } } ^ { - } = z p _ { \mathrm { tot } } ^ { - } ( \approx z p _ { \overline { \mathrm { c } } } ^ { - } ) } \\
{ p _ { \overline { \mathrm { D } } } ^ { + } = \frac { m _ { \perp \overline { \mathrm { D } } } ^ { 2 } } { p _ { \overline { \mathrm { D } } } ^ { - } } = \frac { m _ { \perp \overline { \mathrm { D } } } ^ { 2 } } { z p _ { \mathrm { tot } } ^ { - } } }
\end{array} \quad \Longrightarrow \left\{\begin{array}{l}
E_{\overline{\mathrm{D}}}=\frac{1}{2}\left(\frac{m_{\perp \overline{\mathrm{D}}}^{2}}{z p_{\text {tot }}^{-}}+z p_{\mathrm{tot}}^{-}\right) \\
p_{z \overline{\mathrm{D}}}=\frac{1}{2}\left(\frac{m_{\perp \overline{\mathrm{D}}}^{2}}{z p_{\text {tot }}^{-}}-z p_{\text {tot }}^{-}\right)
\end{array}\right.\right.
$$

The smaller the $z$, the less negative the $p_{z}$ of the $\overline{\mathrm{D}}$ meson. If $z<m_{\perp \overline{\mathrm{D}}} / p_{\text {tot }}^{-}$it even flips sign, $p_{z}>0$.

## Factorization breakdown in fixed-target $\pi^{-} p$




$$
\begin{array}{r}
\left(x_{\mathrm{F}}=p_{L}^{*} / p_{L, \text { max }}^{*}, L=\text { longitudinal, }{ }^{*}=\text { in } \mathrm{CM}\right) \\
\text { WA82, Phys.Lett. B305 (1993) } 402
\end{array}
$$

Fragmentation function factorization

$$
\frac{\mathrm{d} \sigma_{\mathrm{D}}}{\mathrm{~d} x_{\mathrm{F}}}=\frac{\mathrm{d} \sigma_{\mathrm{c}}}{\mathrm{~d} x_{\mathrm{F}}} \otimes f(z), \quad 0<z<1, \quad z \approx \frac{x_{\mathrm{F}, \mathrm{D}}}{x_{\mathrm{F}, \mathrm{c}}} \approx \frac{E_{\mathrm{D}}}{E_{\mathrm{c}}} \approx \frac{p_{\mathrm{D}}^{+}}{p_{\mathrm{c}}^{+}}
$$

## does not work!

## Production asymmetries in fixed-target $\pi^{-} p$

$u \bar{u} \rightarrow c \bar{c}$ pulls $\overline{\mathrm{D}}$ forwards, while $\mathrm{gg} \rightarrow \mathrm{c} \overline{\mathrm{c}}$ can pull either D or $\overline{\mathrm{D}}$ :


Asymmetry $A\left(x_{\mathrm{F}}\right)=\left(\sigma\left(\mathrm{D}^{-}\right)-\sigma\left(\mathrm{D}^{+}\right)\right) /\left(\sigma\left(\mathrm{D}^{-}\right)+\sigma\left(\mathrm{D}^{+}\right)\right)$:



## Low-mass strings in fixed-target $\pi^{-} \mathrm{p}$

A string with small invariant mass can collapse to a single hadron, with four-momentum conserved by exchange with other string:

$$
\left.\begin{array}{l}
\overline{\mathrm{c}} \mathrm{~d} \rightarrow \mathrm{D}^{-}, \mathrm{D}^{*-}, \ldots \\
\mathrm{c} \mathrm{\bar{u}} \rightarrow \mathrm{D}^{0}, \mathrm{D}^{* 0}, \ldots
\end{array}\right\} \quad \Longrightarrow \quad \mathrm{D}^{ \pm} \text {asymmetry in } \mathrm{gg} \rightarrow \mathrm{c} \overline{\mathrm{c}}
$$



Minimum string mass given by constituent quark masses:

$$
\begin{aligned}
m_{\mathrm{c}} & =1.5 \mathrm{GeV} \\
m_{\mathrm{d}} & =0.33 \mathrm{GeV} \\
m_{\overline{\mathrm{cd}}, \min } & =m_{\mathrm{c}}+m_{\mathrm{d}}=1.83 \mathrm{GeV}
\end{aligned}
$$

A choice of lower masses would give more collapse.

In target remnant also cud $\rightarrow \Lambda_{c}^{+}, \Sigma_{c}^{+}, \Sigma_{c}^{*+}, \ldots ;$ relevant for LHC?

## Fragmentation in $\mathrm{Z}^{0} \rightarrow \mathrm{c} \overline{\mathrm{c}}$

Consider $\mathrm{Z}^{0} \rightarrow \mathrm{c} \overline{\mathrm{c}} \rightarrow \mathrm{c} \overline{\mathrm{c}} \mathrm{g}(\rightarrow \ldots)$.
Parton showers give gluons around the $c \bar{c}$ directions, with energy that partly can be recovered in the hadronization step.


Can be viewed as the gluons helping pull forwards.



## Beam drag at the LHC

At LHC, c and b quarks appear to be dragged approximately as much forwards as backwards, with significant fluctuations.



Results above for inclusive sample of minimum bias events, i.e. only a fraction of the generated events contribute.

## Again fragmentation function factorization does not work!

## A closer look at beam drag (1)

Now consider only events with hard processes $q \bar{q} \rightarrow c \bar{c}$ or $g g \rightarrow c \bar{c}$, with no c or b production in the showers. Only a few \% of total c.



Note 1: parton showers allow $z>1$ ! Similar to string pull: in a dipole shower the other end of the dipole can be ahead.
Then a branching like $\mathrm{c} \rightarrow \mathrm{c}^{\prime} \mathrm{g}$ involves a DGLAP $z$.
With $p_{\mathrm{c}^{\prime}}^{-}=z p_{\mathrm{c}}^{-}$you again get $p_{\mathrm{c}^{\prime}}^{+} \propto 1 / z$.
Note 2: significant hardening at large $x_{E}$ in $\mathrm{c} \rightarrow \mathrm{D}$.

## A closer look at beam drag (2)

The $p_{\perp}$ spectrum behaves a bit more like naive expectations:



But note that the shower effect can go in either direction:

- Final-state radiation almost always decreases $p_{\perp}$.
- Initial-state radiation can kick a c or $\bar{c}$ to significantly higher $p_{\perp}$.
- Also primordial $k_{\perp}$ can increase $p_{\perp}$ some.


## A closer look at beam drag (3)

If we require $p_{\perp, \mathrm{ME}}>100 \mathrm{GeV}$ :



Now expected hierarchy of curves is there, and $z>1$ less common.
The same pattern in $p_{\perp}$ spectra.
Gradual transition of behaviour from low- $p_{\perp}$ to high- $p_{\perp}$.
Fragmentation function factorization begins to make sense only for $p_{\perp}>10 \mathrm{GeV}$.

Does not help for FPF applications!

## Charm hadron composition

## LHC offered a surprise!


K. Reygers, EPS-HEP 2021

$\Lambda_{c}^{+} / D^{0}$ four times higher than in $\mathrm{e}^{+} \mathrm{e}^{-}$!
But $\mathrm{e}^{+} \mathrm{e}^{-}$result recovered at large $p_{\perp}$.

## QCD-based colour reconnection

Colour Reconnection (CR) is an essential component of the Multiparton Interactions (MPI) framework.
QCDCR extension by Christiansen \& Skands, JHEP 08 (2015) 003


Triple junction reconnection
$\qquad$ $\bar{q}$

(qव: 1/27, gg: 5/256, model: 2/81)

## Double junction reconnection

$\qquad$ $\bar{q}$
(qq: $1 / 3$, gg: $10 / 64$, model: $2 / 9$ )

## Zipping reconnection



Mainly QCDCR at small $p_{\perp}$, where there are more parallel strings.

## Bottom production asymmetries

Asymmetries predicted and observed also for charm and bottom hadrons at the LHC, but full picture not yet clear.



$$
A=\frac{\sigma\left(\Lambda_{\mathrm{b}}^{0}\right)-\sigma\left(\bar{\Lambda}_{\mathrm{b}}^{0}\right)}{\sigma\left(\Lambda_{\mathrm{b}}^{0}\right)+\sigma\left(\bar{\Lambda}_{\mathrm{b}}^{0}\right)}
$$

Enhanced $\Lambda_{\mathrm{b}}$ production at low $p_{\perp}$, like for $\Lambda_{\mathrm{c}}$, dilutes asymmetry?

## $\Lambda_{c}$ production and asymmetries

Asymmetries $A=\left(\Lambda_{c}^{+}-\bar{\Lambda}_{c}^{-}\right) /\left(\Lambda_{c}^{+}-\bar{\Lambda}_{c}^{-}\right)$for inclusive event sample:





Very close to $\Lambda_{\mathrm{b}}$ behaviour above! (But not same cuts.)

## Inclusive production in three model variations

Compare inclusive (anti)charm hadron production:

"hard remnant" = harder baryon from beam-remnant diquark.

## Issues and conclusion

- Naively $\mathrm{d} \sigma(\mathrm{D})=\mathrm{d} \sigma(\mathrm{c}) \otimes P_{\text {flavour }}(\mathrm{c} \rightarrow \mathrm{D}) \otimes f\left(z=E_{\mathrm{D}} / E_{\mathrm{c}}\right)$. Such factorization is strongly broken in forward direction.
- A D/B hadron can be harder than the mother c/b quark. Beware in studies of potential intrinsic charm. E.g.: does not affect $\sigma\left(\mathrm{cs} \rightarrow \mathrm{W}^{+}\right)$, but well recoiling $\overline{\mathrm{c}}$.
- Strong $\Lambda_{c}$ enhancement (yet another) break of jet universality. Need better understanding of Colour Reconnection and more.
- Uncertainty also from familiar issues:

PDF, $m_{\mathrm{c}}, \alpha_{\mathrm{s}}$, NLO, shower, match\&merge, ...

- Spread of predictions for forward charm/bottom spectra? Beware of models that cannot explain the ( $\pi^{-} \mathrm{p}$ ) data.
- Further experimental input is most welcome.


## $\Lambda_{c}$ production and asymmetries (2)

Again consider only $q \bar{q} \rightarrow c \bar{c}$ and $g g \rightarrow c \bar{c}$ charm production.

Default CR:


QCDCR:


- Clear signal of collapse $c+u d_{\text {beam }} \rightarrow \Lambda_{c}$.
- Clear signal of strong beam drag for $\Lambda_{c}$ also without collapse.
- No similar signals for $\bar{\Lambda}_{c}$.
- QCDCR adds more $\Lambda_{c}$ and $\bar{\Lambda}_{c}$, but centrally only.
- QCDCR increases central $\Lambda_{c}-\bar{\Lambda}_{c}$ asymmetry, unlike $\Lambda_{b}$ data.

But recall that only subset of charm data, likely with some bias.

## $\Lambda_{c}$ production and asymmetries (3)

Still only $\mathrm{q} \overline{\mathrm{q}} \rightarrow \mathrm{c} \overline{\mathrm{c}}$ and $\mathrm{gg} \rightarrow \mathrm{c} \overline{\mathrm{c}}$, but now for $p_{\perp}$ spectra.

## Default CR:



## QCDCR:



- Collapse c $+\mathrm{ud}_{\text {beam }} \rightarrow \Lambda_{\mathrm{c}}$ gives smallest $\left\langle p_{\perp}\right\rangle$.
- Junctions and beam-connected give intermediate $\left\langle p_{\perp}\right\rangle$.
- Other $\Lambda_{c} / \bar{\Lambda}_{c}$ give largest $\left\langle p_{\perp}\right\rangle$.

Again small charm subset, but physically meaningful trends.

## $\Lambda_{c}$ production and asymmetries (4)

From Snowmass article:


Charm hadron asymmetry


